Verification under Weak Consistency and Recent Decidability Results in Verification

Roland Meyer, TU Braunschweig

IFIP WG 2.3, Athens, May 2025

Joint work with the best PhD students in the world :)

Verification under Weak Consistency

$$x = y = 0$$

(a) $x = 1;$ | (c) $y = 1;$
(b) $r1 = y;$ | (d) $r2 = x;$

$$x = y = 0$$

(a) $x = 1;$ | (c) $y = 1;$
(b) $r1 = y;$ | (d) $r2 = x;$

Q: What are the possible outcomes for the values of r1 and r2?

$$x = y = 0$$

(a) $x = 1;$ | (c) $y = 1;$
(b) $r1 = y;$ | (d) $r2 = x;$

Q: What are the possible outcomes for the values of r1 and r2?



A: Check all possible interleavings!

$$x = y = 0$$

(a) $x = 1;$ | (c) $y = 1;$
(b) $r1 = y;$ | (d) $r2 = x;$

Q: What are the possible outcomes for the values of r1 and r2?

A: Check all possible interleavings!

(a).(b).(c).(d) (a).(c).(b).(d) (a).(c).(d).(b) (c).(d).(a).(b) (c).(a).(d).(b) (c).(a).(b).(d)

$$x = y = 0$$

(a) $x = 1;$ | (c) $y = 1;$
(b) $r1 = y;$ | (d) $r2 = x;$

Q: What are the possible outcomes for the values of r1 and r2?

A: Check all possible interleavings!



$$x = y = 0$$

(a) $x = 1;$ | (c) $y = 1;$
(b) $r1 = y;$ | (d) $r2 = x;$

Q: What are the possible outcomes for the values of r1 and r2?

A: Check all possible interleavings!



r1 = r2 = 0 seems impossible...

$$x = y = 0$$

(a) $x = 1;$ | (c) $y = 1;$
(b) $r1 = y;$ | (d) $r2 = x;$

Q: What are the possible outcomes for the values of r1 and r2?

A: Check all possible interleavings!



r1 = r2 = 0 seems impossible...

...practice says otherwise!

$$(I1) \times = 0;$$



$$(I2) y = 0;$$



























CPUs can buffer a store locally and only later flush it into main memory



This behavior is not captured by an interleaving!

CPUs can buffer a store locally and only later flush it into main memory



This behavior is not captured by an interleaving!

$$(I2) y = 0;$$

Memory architectures (sketched)

Memory architectures (sketched) Store buffer



Memory architectures (sketched) Store/Load buffer



Memory architectures (sketched) Store/Load buffer, instruction buffer



Memory architectures (sketched) Store/Load buffer, instruction buffer, decentralised memory



Memory architectures (sketched) Store/Load buffer, instruction buffer, decentralised memory ... and much more



Memory architectures (sketched) Store/Load buffer, instruction buffer, decentralised memory ... and much more



Memory architectures (sketched) Store/Load buffer, instruction buffer, decentralised memory ... and much more



Program semantics

Interleavings are insufficient to capture program behavior

Interleavings are insufficient to capture program behavior



Enrich interleavings with microarchitectural steps (e.g., fetch, execute, write-back)?



Interleavings are insufficient to capture program behavior



Enrich interleavings with microarchitectural steps (e.g., fetch, execute, write-back)?

Requires all details of the memory architecture: too complex too quickly!



Interleavings are insufficient to capture program behavior

Enrich interleavings with microarchitectural steps (e.g., fetch, execute, write-back)?

Requires all details of the memory architecture: too complex too quickly!

Forget about architectural details! Directly model observable behavior!



Interleavings are insufficient to capture program behavior

Enrich interleavings with microarchitectural steps (e.g., fetch, execute, write-back)?

Requires all details of the memory architecture: too complex too quickly!

Forget about architectural details! Directly model observable behavior!



Axiomatic program/memory semantics!



Axiomatic program semantics Example



Axiomatic program semantics Example














No more interleavings!



No details of the memory architecture!

Consistency models with CAT*

*

Jade Alglave, *Luc Maranget*, *Michael Tautschnig*: *Herding Cats: Modelling, Simulation, Testing, and Data Mining for Weak Memory.* <u>ACM Trans. Program. Lang. Syst. 36(2)</u>: 7:1-7:74 (2014)

Consistency models with CAT*

*

Jade Alglave, *Luc Maranget*, *Michael Tautschnig*: *Herding Cats: Modelling, Simulation, Testing, and Data Mining for Weak Memory.* <u>ACM Trans. Program. Lang. Syst. 36(2)</u>: 7:1-7:74 (2014)



A memory consistency model answers the following question:

A <u>memory consistency model</u> answers the following question: Given an anarchic execution, is it observable?

W(x,1) (x,1) R(x)=1

$$W(x,1)$$

$$(\downarrow \qquad \bigcirc \qquad \\ R(x)=1$$

$$W(x,1) \qquad R(x)=1$$

$$(\bigvee X,1) \qquad W(x,1)$$

$$W(x,1) \qquad R(x)=1$$

$$(\bigvee X,1) \qquad W(x,1)$$



$$W(x,1) \qquad R(x)=1$$

$$(\bigvee X,1) \qquad W(x,1)$$





$$W(x,1) \qquad R(x)=1$$

$$(\bigvee X,1) \qquad W(x,1)$$





A <u>memory consistency model</u> answers the following question: Given an anarchic execution, is it observable?

$$W(x,1) \qquad R(x)=1$$

$$(\downarrow \qquad (\coprod \qquad (\coprod$$

The CAT language is used to formulate memory consistency models



A <u>memory consistency model</u> answers the following question: Given an anarchic execution, is it observable?



The CAT language is used to formulate memory consistency models

 Restrict the shape (events & relations) of executions

CAT uses existing (base) relations

CAT uses existing (base) relations



CAT uses existing (base) relations to define new ones (derived)



CAT uses existing (base) relations to define new ones (derived)



Derived relations

Conflict relation

Happens-before

Atomicity violation

CAT uses existing (base) relations to define new ones (derived)





CAT uses existing (base) relations to define new ones (derived) CAT puts constraints on relations, happens-before has to be acyclic





CAT uses existing (base) relations to define new ones (derived) CAT puts constraints on relations, happens-before has to be acyclic

















$$R(x)=1$$

 $W(x,1)$



















$$W(x,1) \leftarrow W(x,2)$$

 \downarrow
 $R(x)=2$















Beyond hardware memory architectures!

<u>Beyond</u> hardware memory architectures! (A) Language-level memory models (C11, LKMM, Java, ...)

<u>Beyond</u> hardware memory architectures! (A) Language-level memory models (C11, LKMM, Java, ...) Compiler optimisations + compiler mappings
Memory consistency models Outlook

<u>Beyond</u> hardware memory architectures! (A) Language-level memory models (C11, LKMM, Java, ...) Compiler optimisations + compiler mappings Library specifications: RCU, pthread, safe memory reclamation, ...

Memory consistency models Outlook

<u>Beyond</u> hardware memory architectures! (A) Language-level memory models (C11, LKMM, Java, ...) Compiler optimisations + compiler mappings Library specifications: RCU, pthread, safe memory reclamation, ... (B) Distributed systems (~ communication protocols)

Memory consistency models Outlook

<u>Beyond</u> hardware memory architectures! (A) Language-level memory models (C11, LKMM, Java, ...) Compiler optimisations + compiler mappings Library specifications: RCU, pthread, safe memory reclamation, ... (B) Distributed systems (~ communication protocols) (C) Databases (~ database isolation levels)

Dartagnan







C/Linux code (LLVM), Litmus code (x86, RISCV, PPC, ARMv8, Nvidia PTX)

Can automatically compile C/Linux code to hardware!



C/Linux code (LLVM), Litmus code (x86, RISCV, PPC, ARMv8, Nvidia PTX)

Can automatically compile C/Linux code to hardware!



C/Linux code (LLVM), Litmus code (x86, RISCV, PPC, ARMv8, Nvidia PTX)

Can automatically compile C/Linux code to hardware!



Dartagnan Internals



Dartagnan



Control-Flow Analysis (find basic blocks of instructions executed together, control-flow variables) Symmetry Breaking



Alias Analysis **Constant Propagation Def-Use-Analysis Dominator Analysis Expression Simplification Function Call Devirtualization (resolve call targets) Function Inlining** Live Variables Loop Unrolling Mem2Reg (treat stack as registers) Normalize Loops (single backjump, single entry) **Reaching Definitions** Sparse Conditional Constant Propagation (constant propagation + dead code elimination) Spin Loop Detection and Instrumentation for Dynamic Detection



Dartagnan Internals



<u>Natalia Gavrilenko, Hernán Ponce de León, Florian Furbach,</u> <u>Keijo Heljanko, Roland Meyer</u>: BMC for Weak Memory Models: Relation Analysis for Compact SMT Encodings @ CAV19

Dartagnan

<u>Thomas Haas, René Maseli, Roland Meyer, Hernán Ponce de León</u>: Static Analysis of Memory Models for SMT Encodings @ OOPSLA23



Dartagnan



Natalia Gavrilenko, Hernán Ponce de León, Florian Furbach, <u>Keijo Heljanko, Roland Meyer</u>: BMC for Weak Memory Models: Relation Analysis for Compact SMT Encodings @ CAV19

<u>Thomas Haas, René Maseli, Roland Meyer, Hernán Ponce de León:</u> Static Analysis of Memory Models for SMT Encodings @ OOPSLA23

Encoding CAT into logical theories

<u>Thomas Haas, Roland Meyer, Hernán Ponce de León</u>: CAAT: consistency as a theory @ OOPSLA22



- CAT has simple operations over relations: $;, \cup, \cap, \setminus, \bullet^{-1}$
 - \rightarrow Easily encodable into plain SAT (over finite domain)

- CAT has simple operations over relations: $;, \cup, \cap, \setminus, \bullet^{-1}$
 - \rightarrow Easily encodable into plain SAT (over finite domain)

• CAT has axioms on relations: empty, irreflexive, acyclic

 \rightarrow Emptiness and irreflexivity encodable into plain SAT; Acyclicity encodable into integer difference logic (SMT)



• CAT has axioms on relations: empty, irreflexive, acyclic

 \rightarrow Emptiness and irreflexivity encodable into plain SAT; Acyclicity encodable into integer difference logic (SMT)

Problem: CAT allows for (non-linear) recursive definitions with (stratified) least fixed point semantics!





Emptiness and irreflexivity encodable into plain SAT; Acyclicity encodable into integer difference logic (SMT)

Problem: CAT allows for (non-linear) recursive definitions with (stratified) least fixed point semantics!

Existing theories have a hard time capturing least fixed point semantics!

Dartagnan **Memory-model-parametric BMC**



Dartagnan + CAAT Memory-model-parametric BMC with CAAT





CAT as logical theory





let fr = rf^-1;co
let po-tso = (po \ WxR) | mfence
let hb = po-tso | (rf & ext) | fr | co
acyclic hb
// more relations & axioms

CAAT: Consistency as a Theory



CAAT: Consistency as a Theory



How does it work?





Base relations





1. Derive (Bottom-Up)







1. Derive (Bottom-Up)







1. Derive (Bottom-Up)



3. Explain (Top-Down)





1. Derive (Bottom-Up)



3. Explain (Top-Down)





1. Derive (Bottom-Up)

Base relations



3. Explain (Top-Down)



	2. Che



1. Derive (Bottom-Up)

Base relations



3. Explain (Top-Down)



	2. Che










1. Derive (Bottom-Up)







1. Derive (Bottom-Up)







1. Derive (Bottom-Up)







2. Check







2. Check







 $7:load(&t) == 1) \{ \}$







 $7:load(&t) == 1) \{ \}$







 $7:load(&t) == 1) \{\}$







 $7:load(&t) == 1) \{ \}$







 $7:load(&t) == 1) \{\}$

 $= \varphi$





Read-from:

Coherence:

A Theory Solver for Consistency

 $\operatorname{conj.} \varphi$

sat

explanation











Evaluation

Evaluation: simple CATs







Evaluation: complex CATs





Evaluation: complex CATs





Evaluation: very complex CATs







Evaluation: very complex CATs







Very complex CATs: CAAT is up to 100x faster than standard theories

Conclusion

- Consistency theories handle least fixed points, unlike existing theories
- We give a general theory solver for consistency theories
- Using CAAT in BMC gives substantial performance improvement



Ongoing Work

- Online integration with the SMT solver
- because other theories make the solver backtrack!
- Use matching instead!

Incrementality is a problem — the partial models are often largely different,

Cyclic Proofs for Axiomatic Memory Models

ongoing work with Jan Grünke and Thomas Haas



- Java MM *[JLS 1996]* ullet
 - too weak to build new synchronization primitives [Pugh]
 - too strong for common compiler optimizations (i.e. CSE) [Pugh]

The Java Memory Model

Jeremy Manson and William Pugh Department of Computer Science University of Maryland, College Park College Park, MD

Sarita V. Adve Department of Computer Science University of Illinois at Urbana-Champaign Urbana-Champaign, IL

Fixing the Java Memory Model

William Pugh Dept. of Computer Science Univ. of Maryland, College Park pugh@cs.umd.edu

Abstract

The Java memory model described in Chapter 17 of the Java Language Specification gives constraints on how threads interact through memory. The Java memory model is hard to interpret and poorly understood; it imposes constraints that prohibit common compiler optimizations and are expensive to implement on existing hardware. At least one shipping optimizing Java compiler violates the constraints of the existing Java memory model. These issues are particularly important for it is too weak and it is too strong. It is too strong high-performance Java applications, since they are more likely to use and need aggressive optimizing compilers and parallel processors.

In addition, programming idioms used by some programmers and used within Sun's Java Development Kit is not guaranteed to be valid according the existing Java memory model.

This paper reviews these issues and suggests replacement memory models for Java.

1 Introduction

The Java memory model, as described in chapter 17 of the Java Language Specification [GJS96], is very hard

it does. However, I don't believe it would be profitable to spend much time debating whether it does have these features. I am convinced that the existing style of the specification will never be clear, and that attempts to patch the existing specification by adding new rules will make even harder to understand. If we decide to change the Java memory model, a completely new description of the memory model should be devised. In addition to the problem that the memory model

is very hard to understand, it has two basic problems: in that it prohibits many compiler optimizations and requires many memory barriers on architectures such Sun's Relaxed Memory Order (RMO). It is too weak in that much of the code that has been written for Java, including code in Sun's JDK, is not guaranteed to be valid.

2 The Java Memory Model

In this section, I try to interpret JMM, the existing Java Memory Model, as defined in Chapter 17 of the Java Language Specification [GJS96]. The same definition also appears in Chapter 8 of the Java Virtual Machine Specification [LY96].



- Java MM *[JLS 1996]* ullet
 - too weak to build new synchronization primitives [Pugh]
 - too strong for common compiler optimizations (i.e. CSE) [Pugh]
- C/C++11 MM
 - common compiler optimizations are invalid [Vafeiadis et. al]
 - allows strange behavior (i.e. OOTA) [Vafeiadis et. al]
 - SC fences are too weak [Sarkar et. al]
 - unsound compilation schemes to POWER [Lahav et. al]

	The	Java Memory	Model			
[emy Manson and Willi Department of Computer S niversity of Maryland, Colle College Park, MD	Science	Sarita V. Department of Cor ersity of Illinois at U Urbana-Chan	nputer Science Jrbana-Champaign		
	Fixin	g the Java M	emory Mo	del		
67 9		William Pugl Dept. of Computer Univ. of Maryland, Co pugh@cs.umd.e	Science llege Park			
Abstract	mory model described in (to s	oend much time de	n't believe it would be profit bating whether it does have t	hese	
in the	Common Com e C11 Memory	piler Optimisat Model and wh) 	
	Vafeiadis PI-SWS	Thibaut Balabonski INRIA	S	oham Chakraborty MPI-SWS		
	Synch Susmit Sarkar ¹ Kayva		+ and POV + and POV wens ¹ Mark B ³ Derek Willi <i>a</i>	WER atty ¹ Peter Sewell ¹ ums^4 de.alglave@comlab.ox.ac.uk		
A	Repairi	ng Sequential (Consistency	in C/C++11		
Sh co lar set We IB	Ori Lahav MPI-SWS, Germany * orilahav@mpi-sws.org	MPI-SWS,	/afeiadis Germany * pi-sws.org	Jeehoon Kang Seoul National Universit jeehoon.kang@sf.snu.	y, Korea	
ex ha loz mi ye ba tio	Chung-I Seoul National U gil.hur@sf.	niversity, Korea	versity, Korea		Derek Dreyer MPI-SWS, Germany * dreyer@mpi-sws.org	
scl The ch rent op racy so level ste quer co this fid as w the flaw <i>Ca</i> sugg <i>Ar</i> unsc <i>cu</i> C111 <i>an</i> sour <i>Ge</i> the I	memory accesses in C/C++, "atomic" accesses at a rang ls, from very weak consistence tital consistency ("SC"). Unfor paper, the semantics of SC ator ell as in all proposed strength ed, in that (contrary to previou ested compilation schemes to bund. We propose a model, c), with a better semantics for S indness of the compilation sch DRF-SC guarantee, and provide	mory model defines the semantics of concur- esses in C/C++, and in particular supports cesses at a range of different consistency weak consistency ("relaxed") to strong, se- icy ("SC"). Unfortunately, as we observe in nantics of SC atomic accesses in C/C++11, roposed strengthenings of the semantics, is ontrary to previously published results) both ation schemes to the Power architecture are pose a model, called RC11 (for Repaired r semantics for SC accesses that restores the compilation schemes to Power, maintains rantee, and provides stronger, more useful, fences. In addition, we formally prove, for		there are two general types: <i>non-atomic</i> and <i>atomic</i> . N atomic accesses are intended for normal data: races on su accesses are considered as programming errors and lead undefined behavior, thus ensuring that they can be compi to plain machine loads and stores and that it is sound to ap standard sequential optimizations on non-atomic access. In contrast, atomic accesses are specifically intended communication between threads: thus, races on atomics permitted, but at the cost of introducing hardware fer instructions during compilation and imposing restriction on how such accesses may be merged or reordered. The degree to which an atomic access may be reorder with other operations—and more generally, the implem tation cost of an atomic access—depends on its <i>consister</i> level, concerning which C11 offers programmers several		

the first time, the correctness of the proposed stronger compi-

mee to Power that preserve load-to-store



ess may be reordered rally, the implemennds on its *consistency* tions according to their needs. Strongest and most expensive are sequentially consistent (SC) accesses, whose primary

- Java MM *[JLS 1996]* lacksquare
 - too weak to build new synchronization primitives [Pugh]
 - too strong for common compiler optimizations (i.e. CSE) [Pugh]
- C/C++11 MM
 - common compiler optimizations are invalid [Vafeiadis et. al]
 - allows strange behavior (i.e. OOTA) [Vafeiadis et. al]
 - SC fences are too weak [Sarkar et. al]
 - unsound compilation schemes to POWER [Lahav et. al]
- Need for automatic Memory Model verification!

		The Java N	lemory	Model		
	Department of C University of Mary	and William Pugh Computer Science /land, College Park Park, MD			omputer Science t Urbana-Champaign	
		Fixing the J	ava Me	emory M	odel	
for Its		Dept. of M	Villiam Pugh f Computer 3 Iaryland, Co gh@cs.umd.e	Science llege Park		
	Abstract		to sp	end much time d	lon't believe it would lebating whether it do	
	Che Lava memory model des Commor in the C11 Me	n Compiler O	ptimisat	ions are l		
	Viktor Vafeiadis MPI-SWS	Thibaut	Balabonski NRIA	at we can	Soham Chakraborty MPI-SWS	
	Ta	aming Release	e-Acquir	e Consiste	ency	
		Ori Lahav Nick C Max Planck Institute for S {orilahav,nick		(MPI-SWS), German		
		Synchronising	g C/C+-	+ and PO	WER	
	Susmit Sarkar ¹ ¹ University of Cambridg ² INRIA, luc.maranger	Luc Maranget ² ge, {first.last}@cl.cam	Jade Alglave	³ Derek Will	jade.alglave@comlab.	
	R	epairing Sequ	iential C	Consistency	v in C/C++1	
	A Sh		Viktor V		-	
	lar MPI-SWS, G	ermany *	MPI-SWS,	Germany *	Jeehoo Seoul National	
	we orilahav@mpi IB ex	-sws.org	viktor@mj	pi-sws.org	jeehoon.kan	
	ha Chung-Kil Hur				Derek Dreyer	
	loi Seoul mi ye ba tio ac	National University, Kore gil.hur@sf.snu.ac.kr	ea		MPI-SWS, Germany * dreyer@mpi-sws.org	
	Abstract The C/C++11 memory model defines the semantics of concur- rent memory accesses in C/C++, and in particular supports racy "atomic" accesses at a range of different consistency levels, from very weak consistency ("relaxed") to strong, se- quential consistency ("SC"). Unfortunately, as we observe in this paper, the semantics of SC atomic accesses in C/C++11, as well as in all proposed strengthenings of the semantics, is flawed, in that (contrary to previously published results) both suggested compilation schemes to the Power architecture are unsound. We propose a model, called RC11 (for Repaired C11), with a better semantics for SC accesses that restores the soundness of the compilation schemes to Power, maintains the DRF-SC guarantee, and provides stronger, more useful,			there are two general types: <i>non-atom</i> atomic accesses are intended for norma accesses are considered as programmir undefined behavior, thus ensuring that t to plain machine loads and stores and th standard sequential optimizations on r In contrast, atomic accesses are spec communication between threads: thus, permitted, but at the cost of introduc instructions during compilation and in on how such accesses may be merged o The degree to which an atomic acces with other operations—and more gene tation cost of an atomic access—depen level, concerning which C11 offers prog		

the first time, the correctness of the proposed stronger compi-

mes to Power that preserve load-to-store orderin



nic and atomic. Nonal data: races on such ing errors and lead to they can be compiled nat it is sound to apply non-atomic accesses cifically intended for , races on atomics are cing hardware fence imposing restrictions or reordered.

cess may be reordered erally, the implemennds on its consistency grammers several options according to their needs. Strongest and most expensive are sequentially consistent (SC) accesses, whose primary

Dartagnan found qspinlock to be broken (according to LKMM): it was racy, failed to provide mutual exclusion, and could deadlock

Dartagnan found qspinlock to be broken (according to LKMM): it was racy, failed to provide mutual exclusion, and could deadlock

Antonio Paolillo, Hernán Ponce de León, Thomas Haas, Diogo Behrens, Rafael Lourenco de Lima Chehab, Ming Fu, Roland Meyer: Verifying and Optimizing Compact NUMA-Aware Locks on Weak Memory Models @ arXiv

Dartagnan found qspinlock to be broken (according to LKMM): it was racy, failed to provide mutual exclusion, and could deadlock

Antonio Paolillo, Hernán Ponce de León, Thomas Haas, Diogo Behrens, Rafael Lourenco de Lima Chehab, Ming Fu, Roland Meyer: Verifying and Optimizing Compact NUMA-Aware Locks on Weak Memory Models @ arXiv

However: qspinlock runs fine on hardware (TSO, Power, ARMv8, RISCV)

Dartagnan found qspinlock to be broken (according to LKMM): it was racy, failed to provide mutual exclusion, and could deadlock

Antonio Paolillo, Hernán Ponce de León, Thomas Haas, Diogo Behrens, Rafael Lourenco de Lima Chehab, Ming Fu, Roland Meyer: Verifying and Optimizing Compact NUMA-Aware Locks on Weak Memory Models @ arXiv

However: qspinlock runs fine on hardware (TSO, Power, ARMv8, RISCV)



Anarchic semantics

Dartagnan found qspinlock to be broken (according to LKMM): it was racy, failed to provide mutual exclusion, and could deadlock

Antonio Paolillo, Hernán Ponce de León, Thomas Haas, Diogo Behrens, Rafael Lourenco de Lima Chehab, Ming Fu, Roland Meyer: Verifying and Optimizing Compact NUMA-Aware Locks on Weak Memory Models @ arXiv

However: qspinlock runs fine on hardware (TSO, Power, ARMv8, RISCV)



Anarchic semantics

Dartagnan found qspinlock to be broken (according to LKMM): it was racy, failed to provide mutual exclusion, and could deadlock

Antonio Paolillo, Hernán Ponce de León, Thomas Haas, Diogo Behrens, Rafael Lourenco de Lima Chehab, Ming Fu, Roland Meyer: Verifying and Optimizing Compact NUMA-Aware Locks on Weak Memory Models @ arXiv

However: qspinlock runs fine on hardware (TSO, Power, ARMv8, RISCV)



Anarchic semantics
Dartagnan **Checking the Linux Kernel**

Dartagnan found qspinlock to be broken (according to LKMM): it was racy, failed to provide mutual exclusion, and could deadlock

Antonio Paolillo, Hernán Ponce de León, Thomas Haas, Diogo Behrens, Rafael Lourenco de Lima Chehab, Ming Fu, Roland Meyer: Verifying and Optimizing Compact NUMA-Aware Locks on Weak Memory Models @ arXiv

However: qspinlock runs fine on hardware (TSO, Power, ARMv8, RISCV)



Anarchic semantics



Given: Memory Models M_1, M_2

Question: Is M_1 weaker than M_2 ?

Given: Memory Models M_1, M_2

Question: Is M_1 weaker than M_2 ?

Approach: Check inclusion between relational algebra expressions Example: TSO is weaker than SC (acyclic $hb_{SC} \implies acyclic hb_{TSO}$)

Given: Memory Models M_1, M_2

Question: Is M_1 weaker than M_2 ?

Approach: Check inclusion between relational algebra expressions Example: TSO is weaker than SC (acyclic hb_{SC} \implies acyclic hb_{TSO}) hb⁺_{TSO} \cap id \subseteq T; (hb⁺_{SC} \cap id);T

Given: Memory Models M_1, M_2

Question: Is M_1 weaker than M_2 ?

Approach: Check inclusion between relational algebra expressions Example: TSO is weaker than SC (acyclic hb_{SC} \implies acyclic hb_{TSO}) $hb_{TSO}^+ \cap id \subseteq T; (hb_{SC}^+ \cap id); T$

KATER tool for a restricted fragment (regular language inclusion) $a \mid r_1 \cup r_2 \mid r_1 \cdot r_2 \mid r^*$

[Kokologiannakis, 2023]

Given: Memory Models M_1, M_2

Question: Is M_1 weaker than M_2 ?

Approach: Check inclusion between relational algebra expressions Example: TSO is weaker than SC (acyclic hb_{SC} \implies acyclic hb_{TSO}) $hb_{TSO}^+ \cap id \subseteq T; (hb_{SC}^+ \cap id); T$

- KATER tool for a restricted fragment (regular language inclusion) $a | r_1 \cup r_2 | r_1 \cdot r_2 | r^*$
- Our tool supports the regular fragment (based on cyclic proofs) $\dots | r_1 \cap r_2 | r^{-1} | s_1 \times s_2$

[Kokologiannakis, 2023]

Given: Memory Models M_1, M_2

Question: Is M_1 weaker than M_2 ?

Approach: Check inclusion between relational algebra expressions Example: TSO is weaker than SC (acyclic hb_{SC} \implies acyclic hb_{TSO}) $hb_{TSO}^+ \cap id \subseteq T; (hb_{SC}^+ \cap id); T$

- KATER tool for a restricted fragment (regular language inclusion) $a | r_1 \cup r_2 | r_1 \cdot r_2 | r^*$
- Our tool supports the regular fragment (based on cyclic proofs) $\dots \quad | \quad r_1 \cap r_2 \quad | \quad r^{-1} \quad | \quad s_1 \times s_2$

[Kokologiannakis, 2023]

MM like LKMM are in this fragment!

Given: Memory Models M_1, M_2

Question: Is M_1 weaker than M_2 ?

Approach: Check inclusion between relational algebra expressions Example: TSO is weaker than SC (acyclic hb_{SC} \implies acyclic hb_{TSO}) $hb_{TSO}^+ \cap id \subseteq T; (hb_{SC}^+ \cap id); T$

- KATER tool for a restricted fragment (regular language inclusion) $a \mid r_1 \cup r_2 \mid r_1 \cdot r_2 \mid r^*$
- Our tool supports the regular fragment (based on cyclic proofs) $\dots \quad | \quad r_1 \cap r_2 \quad | \quad r^{-1} \quad | \quad s_1 \times s_2$

Relational T, cycle somewhere!

[Kokologiannakis, 2023]

MM like LKMM are in this fragment!







To prove $po^* \subseteq po; (po; po)^* \cup (po; po)^*$ a proof tries to find a counterexample

(*)



To prove $po^* \subseteq po; (po; po)^* \cup (po; po)^*$ a proof tries to find a counterexample

(*)















Graphs = represented by relational algebra expressions + event symbols



```
0; \mathbf{po}^* \cap 1
\neg [0; [po; (po; po)^* \cup (po; po)^*] \cap 1]
```

Graphs = represented by relational algebra expressions + event symbols



Proof system is sound + complete for relational algebra inclusions

```
0; \mathbf{po}^* \cap 1
\neg [0; [po; (po; po)^* \cup (po; po)^*] \cap 1]
```

Graphs = represented by relational algebra expressions + event symbols



Proof system is sound + complete for relational algebra inclusions Bound number of events symbols

```
0; \mathbf{po}^* \cap 1
\neg [0; [po; (po; po)^* \cup (po; po)^*] \cap 1]
```

Graphs = represented by relational algebra expressions + event symbols



Proof system is sound + complete for relational algebra inclusions

Bound number of events symbols

```
al algebra expressions + event symbols

0; po^* \cap 1

\neg [0; [po; (po; po)^* \cup (po; po)^*] \cap 1]
```



Graphs = represented by relational algebra expressions + event symbols



Proof system is sound + complete for relational algebra inclusions Bound number of events symbols

Naive proof search is inefficient (EXPSPACE-complete)

```
0; \mathbf{po}^* \cap 1
\neg [0; [po; (po; po)^* \cup (po; po)^*] \cap 1]
```



Search proof

- no CUTs

















Problem: checking inclusions in relational algebra is not sufficient $hb_{TSO}^+ \cap id \subseteq T; (hb_{SC}^+ \cap id); T$







Problem: checking inclusions in relational algebra is not sufficient $hb_{TSO}^+ \cap id \subseteq T; (hb_{SC}^+ \cap id); T$



Solution: use assumptions to restrict graphs to executions fence ⊆ po po⁺ ⊆ po rf; rf⁻¹ \subseteq id





Problem: checking inclusions in relational algebra is not sufficient $hb_{TSO}^+ \cap id \subseteq T; (hb_{SC}^+ \cap id); T$



Solution: use assumptions to restrict graphs to executions fence ⊆ po po⁺ ⊆ po rf: rf⁻¹ \subset id



Comparison with KATER

Table 1: TOOL vs. KATER.

	Тс	OOL	KA	ATER
Benchmark	T(s)	Res.	T(s)	Res.
сон 1 \leftrightarrow сон 2	2.36	1		ERR
$eco1 \leftrightarrow eco2$	0.01	1	0.00	1
$ra1 \leftrightarrow ra2$	1.72	1	0.00	1
$ra1 \leftrightarrow ra3$		ERR	0.00	1
$RC11 \leftrightarrow RC12$	43.56	1	0.12	1
$sc \leftrightarrow scfm$	6.04	1	0.02	1
$TSO \leftrightarrow TSOFM$	ТО		0.06	<
imm→arm8	0.02	1	0.09	1
$IMM \rightarrow TSO$	то		0.01	1
$PPC \rightarrow PPC-S$	ТО		1.13	1
$rc11 \rightarrow arm8$	1.20	1	0.11	1
$RC11 \rightarrow IMM$	4.36	1	0.01	1
$rc11 \rightarrow ppc-w$	\mathbf{TO}		1.00	1
$RC11F \rightarrow PPC-SF$	14.39	1	5.27	1
$RC11RW \rightarrow PPC-SF$	\mathbf{TO}		6.36	1
$rc11s \rightarrow arm8$	3.06	1	0.12	1
$c11 \rightarrow PPC-s$	\mathbf{TO}		1.60	×
$c11 \rightarrow ppc-w$	8.78	×	0.66	×

Comparison with KATER

Table 1: TOOL vs. KATER.

	Tool		KATER	
$\operatorname{Benchmark}$	T(s)	Res.	T(s)	Res.
сон 1 \leftrightarrow сон 2	2.36	1		ERR
$eco1 \leftrightarrow eco2$	0.01	1	0.00	1
$ra1 \leftrightarrow ra2$	1.72	1	0.00	1
$ra1 \leftrightarrow ra3$		ERR	0.00	1
$RC11 \leftrightarrow RC12$	43.56	1	0.12	1
$sc \leftrightarrow scfm$	6.04	1	0.02	1
$TSO \leftrightarrow TSOFM$	ТО		0.06	1
IMM→ARM8	0.02	1	0.09	1
$IMM \rightarrow TSO$	\mathbf{TO}		0.01	1
$PPC \rightarrow PPC-S$	\mathbf{TO}		1.13	 Image: A second s
$RC11 \rightarrow ARM8$	1.20	1	0.11	1
$RC11 \rightarrow IMM$	4.36	1	0.01	1
$RC11 \rightarrow PPC-W$	\mathbf{TO}		1.00	 Image: A second s
$RC11F \rightarrow PPC-SF$	14.39	1	5.27	1
$RC11RW \rightarrow PPC-SF$	ТО		6.36	1
$rc11s \rightarrow arm8$	3.06	1	0.12	1
$c11 \rightarrow ppc-s$	\mathbf{TO}		1.60	*
$c11 \rightarrow ppc-w$	8.78	*	0.66	*

Kater outperforms our tool

Comparison with KATER

Table 1: TOOL vs. KATER.

	Tool		Kater	
Benchmark	T(s)	Res.	T(s)	Res.
сон1⇔сон2	2.36	1		ERR
$eco1 \leftrightarrow eco2$	0.01	1	0.00	1
$ra1 \leftrightarrow ra2$	1.72	1	0.00	1
$ra1 \leftrightarrow ra3$		\mathbf{ERR}	0.00	1
$RC11 \leftrightarrow RC12$	43.56	1	0.12	1
$sc \leftrightarrow scfm$	6.04	1	0.02	1
$TSO \leftrightarrow TSOFM$	ТО		0.06	1
IMM→ARM8	0.02	1	0.09	1
$IMM \rightarrow TSO$	ТО		0.01	1
$PPC \rightarrow PPC-S$	\mathbf{TO}		1.13	 Image: A second s
$RC11 \rightarrow ARM8$	1.20	 Image: A second s	0.11	1
$RC11 \rightarrow IMM$	4.36	1	0.01	1
$RC11 \rightarrow PPC-W$	TO		1.00	1
$RC11F \rightarrow PPC-SF$	14.39	1	5.27	1
$RC11RW \rightarrow PPC-SF$	ТО		6.36	1
$rc11s \rightarrow arm8$	3.06	 Image: A second s	0.12	1
$c11 \rightarrow ppc-s$	ТО		1.60	*
$c11 \rightarrow ppc-w$	8.78	*	0.66	*

- Kater outperforms our tool
- Our tool supports complex CAT features (intersections, converses)

Comparison with KATER

Table 1: TOOL vs. KATER.

	Tool		Kater	
Benchmark	T(s)	Res.	T(s)	Res.
сон1⇔сон2	2.36	1		ERR
$eco1 \leftrightarrow eco2$	0.01	1	0.00	1
$ra1 \leftrightarrow ra2$	1.72	1	0.00	1
$ra1 \leftrightarrow ra3$		\mathbf{ERR}	0.00	1
$RC11 \leftrightarrow RC12$	43.56	1	0.12	1
$sc \leftrightarrow scfm$	6.04	1	0.02	1
$TSO \leftrightarrow TSOFM$	ТО		0.06	1
IMM→ARM8	0.02	1	0.09	1
$IMM \rightarrow TSO$	ТО		0.01	1
$PPC \rightarrow PPC-S$	\mathbf{TO}		1.13	 Image: A second s
$RC11 \rightarrow ARM8$	1.20	 Image: A second s	0.11	1
$RC11 \rightarrow IMM$	4.36	1	0.01	1
$RC11 \rightarrow PPC-W$	TO		1.00	 Image: A second s
$RC11F \rightarrow PPC-SF$	14.39	1	5.27	1
$RC11RW \rightarrow PPC-SF$	ТО		6.36	1
$rc11s \rightarrow arm8$	3.06	 Image: A second s	0.12	1
$c11 \rightarrow ppc-s$	ТО		1.60	*
$c11 \rightarrow ppc-w$	8.78	*	0.66	*

- Kater outperforms our tool
- Our tool supports complex CAT features (intersections, converses)

Successful applications

Table 2: MCA, OOTA and UNIPROC.

Benchmark	T(s)	Res.
UNIPROC	0.20	1
ARM-MCA	13.21	1
ARM-NO-OOTA	15.84	1
IMM-MCA	0.21	*
IMM-NO-OOTA	28.80	1
TSO-MCA	0.11	1
TSO-NO-OOTA	0.38	1

Table 3: LKMM tests.

Benchmark	T(s)	Res.
NO-OOTA-SEM	0.04	1
NO-OOTA-SYN	1.26	×
MCA	7.75	*
v00-once2acq	0.07	1
v00-once 2 rel	0.01	1
v00-acqrel $2mb$	0.21	×
v04-once $2acq$	0.26	1
v04-once $2mb$	0.21	1
v04-once $2rel$	0.20	1
v04-acqrel2mb	5.57	✓
v00 ⊆ v01	21.54	×
$v01 \subseteq v00$	536.36	1
$v01 \subseteq v02$	0.05	×
$v02 \subseteq v01$	0.05	1
$v02 \subseteq v03$	0.14	1
$v03 \subseteq v02$	0.07	≭(?)
ppo \subseteq po $(v02)$	0.05	×
ppo ⊆ po (v03)	0.05	1
Evaluation

Comparison with KATER

Table 1: TOOL vs. KATER.

	Tool		Kater	
Benchmark	T(s)	Res.	T(s)	Res.
сон1⇔сон2	2.36	1		ERR
$eco1 \leftrightarrow eco2$	0.01	1	0.00	1
$ra1 \leftrightarrow ra2$	1.72	1	0.00	1
$ra1 \leftrightarrow ra3$		\mathbf{ERR}	0.00	1
$RC11 \leftrightarrow RC12$	43.56	1	0.12	1
$sc \leftrightarrow scfm$	6.04	1	0.02	1
$TSO \leftrightarrow TSOFM$	ТО		0.06	1
IMM→ARM8	0.02	1	0.09	1
$IMM \rightarrow TSO$	ТО		0.01	 Image: A second s
$PPC \rightarrow PPC-S$	\mathbf{TO}		1.13	 Image: A second s
$RC11 \rightarrow ARM8$	1.20	 Image: A second s	0.11	1
$RC11 \rightarrow IMM$	4.36	1	0.01	1
$RC11 \rightarrow PPC-W$	TO		1.00	1
$RC11F \rightarrow PPC-SF$	14.39	1	5.27	1
$RC11RW \rightarrow PPC-SF$	ТО		6.36	1
$rc11s \rightarrow arm8$	3.06	1	0.12	1
$c11 \rightarrow ppc-s$	ТО		1.60	*
$c11 \rightarrow ppc-w$	8.78	*	0.66	*

- Kater outperforms our tool
- Our tool supports complex CAT features (intersections, converses)

Successful applications

Table 2: MCA, OOTA and UNIPROC.

Benchmark	T(s)	Res.
UNIPROC	0.20	1
ARM-MCA	13.21	1
ARM-NO-OOTA	15.84	1
IMM-MCA	0.21	*
IMM-NO-OOTA	28.80	1
TSO-MCA	0.11	1
TSO-NO-OOTA	0.38	1

Table 3: LKMM tests.

Benchmark	T(s)	Res.
NO-OOTA-SEM	0.04	1
NO-OOTA-SYN	1.26	×
MCA	7.75	*
v00-once2acq	0.07	1
v00-once 2 rel	0.01	1
v00-acqrel $2mb$	0.21	×
v04-once $2acq$	0.26	1
v04-once $2mb$	0.21	1
v04-once $2rel$	0.20	1
v04-acqrel2mb	5.57	 Image: A second s
$v00 \subseteq v01$	21.54	×
$v01 \subseteq v00$	536.36	1
$v01 \subseteq v02$	0.05	×
$v02 \subseteq v01$	0.05	1
$v02 \subseteq v03$	0.14	1
$v03 \subseteq v02$	0.07	≭ (?)
рро \subseteq ро (VO2)	0.05	*
ppo ⊆ po (v03)	0.05	 Image: A second s

Analyzed MCA and OOTA for different MM

Evaluation

Comparison with KATER

Table 1: TOOL vs. KATER.

	Tool		Kater	
Benchmark	T(s)	Res.	T(s)	Res.
сон1⇔сон2	2.36	1		ERR
$eco1 \leftrightarrow eco2$	0.01	1	0.00	1
$ra1 \leftrightarrow ra2$	1.72	1	0.00	1
$ra1 \leftrightarrow ra3$		\mathbf{ERR}	0.00	1
$RC11 \leftrightarrow RC12$	43.56	1	0.12	1
$sc \leftrightarrow scfm$	6.04	1	0.02	1
$TSO \leftrightarrow TSOFM$	ТО		0.06	1
IMM→ARM8	0.02	1	0.09	1
$IMM \rightarrow TSO$	ТО		0.01	 Image: A second s
$PPC \rightarrow PPC-S$	\mathbf{TO}		1.13	 Image: A second s
$RC11 \rightarrow ARM8$	1.20	 Image: A second s	0.11	1
$RC11 \rightarrow IMM$	4.36	1	0.01	1
$RC11 \rightarrow PPC-W$	\mathbf{TO}		1.00	1
$RC11F \rightarrow PPC-SF$	14.39	1	5.27	1
$RC11RW \rightarrow PPC-SF$	ТО		6.36	1
$rc11s \rightarrow arm8$	3.06	1	0.12	1
$c11 \rightarrow ppc-s$	ТО		1.60	*
$c11 \rightarrow ppc-w$	8.78	*	0.66	*

- Kater outperforms our tool
- Our tool supports complex CAT features (intersections, converses)

Successful applications

Table 2: MCA, OOTA and UNIPROC.

Benchmark	T(s)	Res.
UNIPROC	0.20	1
ARM-MCA	13.21	1
ARM-NO-OOTA	15.84	1
IMM-MCA	0.21	×
IMM-NO-OOTA	28.80	1
TSO-MCA	0.11	1
TSO-NO-OOTA	0.38	1

Table 3: LKMM tests.

Benchmark	T(s)	Res.
NO-OOTA-SEM	0.04	1
NO-OOTA-SYN	1.26	×
MCA	7.75	×
v00-once2acq	0.07	1
v00-once 2 rel	0.01	1
v00-acqrel 2 mb	0.21	×
v04-once $2acq$	0.26	1
v04-once $2mb$	0.21	1
v04-once $2rel$	0.20	1
v04-acqrel $2mb$	5.57	 Image: A second s
$v00 \subseteq v01$	21.54	×
$v01 \subseteq v00$	536.36	1
$v01 \subseteq v02$	0.05	×
$v02 \subseteq v01$	0.05	1
$v02 \subseteq v03$	0.14	1
$v03 \subseteq v02$	0.07	≭ (?)
рро \subseteq ро (V02)	0.05	*
ppo ⊆ po (v03)	0.05	 Image: A second s

- Analyzed MCA and OOTA for different MM
- Identification of (known) LKMM bugs

Evaluation

Comparison with KATER

Table 1: TOOL vs. KATER.

	Tool		Kater	
Benchmark	T(s)	Res.	T(s)	Res.
сон1⇔сон2	2.36	1		ERR
$eco1 \leftrightarrow eco2$	0.01	1	0.00	1
$ra1 \leftrightarrow ra2$	1.72	1	0.00	1
$ra1 \leftrightarrow ra3$		\mathbf{ERR}	0.00	1
$RC11 \leftrightarrow RC12$	43.56	1	0.12	1
$sc \leftrightarrow scfm$	6.04	1	0.02	1
$TSO \leftrightarrow TSOFM$	ТО		0.06	1
IMM→ARM8	0.02	1	0.09	1
$IMM \rightarrow TSO$	ТО		0.01	1
$PPC \rightarrow PPC-S$	\mathbf{TO}		1.13	 Image: A second s
$RC11 \rightarrow ARM8$	1.20	 Image: A second s	0.11	1
$RC11 \rightarrow IMM$	4.36	1	0.01	1
$RC11 \rightarrow PPC-W$	TO		1.00	1
$RC11F \rightarrow PPC-SF$	14.39	1	5.27	1
$RC11RW \rightarrow PPC-SF$	ТО		6.36	1
$rc11s \rightarrow arm8$	3.06	1	0.12	1
$c11 \rightarrow ppc-s$	ТО		1.60	*
$c11 \rightarrow ppc-w$	8.78	*	0.66	*

- Kater outperforms our tool
- Our tool supports complex CAT features (intersections, converses)

Successful applications

Table 2: MCA, OOTA and UNIPROC.

Benchmark	T(s)	Res.
UNIPROC	0.20	1
ARM-MCA	13.21	1
ARM-NO-OOTA	15.84	1
IMM-MCA	0.21	*
IMM-NO-OOTA	28.80	1
TSO-MCA	0.11	1
TSO-NO-OOTA	0.38	1

Table 3: LKMM tests.

Benchmark	T(s)	Res.
NO-OOTA-SEM	0.04	1
NO-OOTA-SYN	1.26	×
MCA	7.75	*
v00-once2acq	0.07	1
v00-once 2 rel	0.01	1
v00-acqrel $2mb$	0.21	×
v04-once $2acq$	0.26	1
v04-once $2mb$	0.21	1
v04-once $2rel$	0.20	1
v04-acqrel2mb	5.57	 Image: A second s
$v00 \subseteq v01$	21.54	×
$v01 \subseteq v00$	536.36	1
$v01 \subseteq v02$	0.05	×
$v02 \subseteq v01$	0.05	1
$v02 \subseteq v03$	0.14	1
$v03 \subseteq v02$	0.07	≭ (?)
рро \subseteq ро (VO2)	0.05	×
ppo ⊆ po (v03)	0.05	 Image: A second s

- Analyzed MCA and OOTA for different MM
- Identification of (known) LKMM bugs
- Generation of useful counterexamples

Memory Models need verification

- Memory Models need verification
- This can be achieved by checking inclusions in relational algebra

- Memory Models need verification
- This can be achieved by checking inclusions in relational algebra
- We provided a sound & complete proof system for relational algebra inclusions

- Memory Models need verification
- This can be achieved by checking inclusions in relational algebra
- We provided a sound & complete proof system for relational algebra inclusions
- We presented a CEGAR approach for an efficient proof search

Recent Decidability Results in Verification

Recent Decidability Results in Verification

- Complexity of VASS Reachability
- Decidability of Regular Separability for VASS Reachability Languages
- Decidability of PVASS Reachability
- Decidability of BVASS Reachability
- Decidability of DataVASS Reachability
- Complexity of Parity Games

[solved by Czerwinski, Leroux, and Schmitz in 2019 (upper bound) and 2021 (lower bound)] Complexity of VASS Reachability [LICS'19, FOCS'21 2x]

Decidability of Regular Separability for VASS

Decidability of PVASS Reachability

Decidability of BVASS Reachability

Decidability of DataVASS Reachability

Complexity of Parity Games



- Complexity of VASS Reachability
- **Decidability of Regular Separability for VASS** Decidability of PVASS Reachability Decidability of BVASS Reachability Decidability of DataVASS Reachability Complexity of Parity Games

[solved by Czerwinski, Leroux, and Schmitz in 2019 (upper bound) and 2021 (lower bound)] [LICS'19, FOCS'21 2x]

[solved by us, with E. Keskin, LICS'24]







Complexity of VASS Reachability [solved by Czerwinski, Leroux, and Schmitz in 2019 (upper bound) and 2021 (lower bound) [LICS'19, FOCS'21 2x]

Decidability of Regular Separability for VASS

Decidability of PVASS Reachability

Decidability of BVASS Reachability

Decidability of DataVASS Reachability

Complexity of Parity Games

[solved by us, with E. Keskin, LICS'24]

[solved by us, with E. Keskin and R. Guttenberg, under submission]



Complexity of VASS Reachability [solved by Czerwinski, Leroux, and Schmitz in 2019 (upper bound) and 2021 (lower bound) [LICS'19, FOCS'21 2x]

Decidability of Regular Separability for VASS

Decidability of PVASS Reachability

Decidability of BVASS Reachability

Decidability of DataVASS Reachability

Complexity of Parity Games

[solved by us, with E. Keskin, LICS'24]

[solved by us, with E. Keskin and R. Guttenberg, under submission]

[working on it, with J. Grünke]



[solved by Czerwinski, Leroux, and Schmitz in 2019 (upper bound) and 2021 (lower bound)] <u>Complexity of VASS Reachability</u> [LICS'19, FOCS'21 2x]

Decidability of Regular Separability for VASS

Decidability of PVASS Reachability

Decidability of BVASS Reachability

Decidability of DataVASS Reachability

-Complexity of Parity Games

[solved by us, with E. Keskin, LICS'24]

[solved by us, with E. Keskin and R. Guttenberg, under submission]

[working on it, with J. Grünke]

[working on it, with E. Keskin]





[solved by Czerwinski, Leroux, and Schmitz in 2019 (upper bound) and 2021 (lower bound)] Complexity of VASS Reachability [LICS'19, FOCS'21 2x]

Decidability of Regular Separability for VASS

Decidability of PVASS Reachability

Decidability of BVASS Reachability

Decidability of DataVASS Reachability

-Complexity of Parity Games



[solved by us, with E. Keskin, LICS'24]

[solved by us, with E. Keskin and R. Guttenberg, under submission]

[working on it, with J. Grünke]

[working on it, with E. Keskin]





Regular Separability of VASS Reachability Languages

<u>Eren Keskin, Roland Meyer</u>: On the separability problem of VASS reachability languages @ LICS24

 $\mathbb{X} \in \{\mathbb{Z}, \mathbb{N}\}.$

$\mathbb{X} \in \{\mathbb{Z}, \mathbb{N}\}.$

 $\begin{array}{l} \mathbb{X}\text{-REGSEP:} \\ \text{Given: Initialized VASS } V_1 \text{ and } V_2 \text{ over } \Sigma \text{ .} \\ \text{Question: Does } L_{\mathbb{X}}(V_1) \mid L_{\mathbb{X}}(V_2) \text{ hold?} \end{array}$

 $\mathbb{X} \in \{\mathbb{Z}, \mathbb{N}\}.$

Reachability languages.

 $\begin{array}{l} \mathbb{X}\text{-REGSEP:} \\ \text{Given: Initialized VASS } V_1 \text{ and } V_2 \text{ over } \Sigma \text{ .} \\ \text{Question: Does } L_{\mathbb{X}}(V_1) \mid L_{\mathbb{X}}(V_2) \text{ hold?} \end{array}$

 $\mathbb{X} \in \{\mathbb{Z}, \mathbb{N}\}.$

Reachability languages.

 $\begin{array}{l} \mathbb{X}\text{-REGSEP:} \\ \text{Given: Initialized VASS } V_1 \text{ and } V_2 \text{ over } \Sigma \text{ .} \\ \text{Question: Does } L_{\mathbb{X}}(V_1) \mid L_{\mathbb{X}}(V_2) \text{ hold?} \end{array}$

 $\begin{array}{l} L_1 \mid L_2 \\ \exists R \subseteq \Sigma^* \text{ regular. } L_1 \subseteq R \land R \cap L_2 = \varnothing \end{array}. \end{array}$

 $\mathbb{X} \in \{\mathbb{Z}, \mathbb{N}\}.$

Reachability languages.

X-REGSEP: Given: Initialized VASS V_1 and V_2 over Σ . Question: Does $L_{\mathbb{X}}(V_1) \mid L_{\mathbb{X}}(V_2)$ hold?

 $L_1 \mid L_2$: $\exists R \subseteq \Sigma^*$ regular. $L_1 \subseteq R \land R \cap L_2 = \emptyset$.







 $\mathbb{X} \in \{\mathbb{Z}, \mathbb{N}\}.$

Reachability languages.

 $\begin{array}{l} \mathbb{X}\text{-REGSEP:} \\ \text{Given: Initialized VASS } V_1 \text{ and } V_2 \text{ over } \Sigma \text{ .} \\ \text{Question: Does } L_{\mathbb{X}}(V_1) \mid L_{\mathbb{X}}(V_2) \text{ hold?} \end{array}$

 $\begin{array}{l} L_1 \mid L_2 \\ \exists R \subseteq \Sigma^* \text{ regular. } L_1 \subseteq R \land R \cap L_2 = \varnothing \end{array}. \end{array}$

VS.



Example:

1. $\{a^n . b^n \mid n \in \mathbb{N}\} \mid \{a^n . b^{n+1} \mid n \in \mathbb{N}\}.$

Example:

1. $\{a^n . b^n \mid n \in \mathbb{N}\} \mid \{a^n . b^{n+1} \mid n \in \mathbb{N}\}.$

Yes! Separator: Even.Even U Odd.Odd.

Example:

1. $\{a^n \cdot b^n \mid n \in \mathbb{N}\} \mid \{a^n \cdot b^{n+1} \mid n \in \mathbb{N}\}$.

Yes! Separator: Even.Even U Odd.Odd.



Example:

1. $\{a^n . b^n \mid n \in \mathbb{N}\} \mid \{a^n . b^{n+1} \mid n \in \mathbb{N}\}.$

Yes! Separator: Even.Even U Odd.Odd.

2. $\{a^n \cdot b^{\leq n} \mid n \in \mathbb{N}\} \neq \{a^n \cdot b^{>n} \mid n \in \mathbb{N}\}$.



Example:

1. $\{a^n \cdot b^n \mid n \in \mathbb{N}\} \mid \{a^n \cdot b^{n+1} \mid n \in \mathbb{N}\}$.

Yes! Separator: Even.Even U Odd.Odd.

2. $\{a^n \cdot b^{\leq n} \mid n \in \mathbb{N}\} \neq \{a^n \cdot b^{>n} \mid n \in \mathbb{N}\}$.

No! Assume $A : L_1 \mid L_2$ and A has m states. Consider $a^{m+1} \cdot b^{m+1} \in L_1 \subseteq L(A)$.



Example:

1. $\{a^n . b^n \mid n \in \mathbb{N}\} \mid \{a^n . b^{n+1} \mid n \in \mathbb{N}\}.$

Yes! Separator: Even.Even U Odd.Odd.

2. $\{a^n \cdot b^{\leq n} \mid n \in \mathbb{N}\} \neq \{a^n \cdot b^{>n} \mid n \in \mathbb{N}\}$.

No! Assume $A : L_1 \mid L_2$ and A has m states. Consider $a^{m+1} \cdot b^{m+1} \in L_1 \subseteq L(A)$.



Example:

1. $\{a^n . b^n \mid n \in \mathbb{N}\} \mid \{a^n . b^{n+1} \mid n \in \mathbb{N}\}.$

Yes! Separator: Even.Even U Odd.Odd.

2. $\{a^n . b^{\leq n} \mid n \in \mathbb{N}\} \neq \{a^n . b^{>n} \mid n \in \mathbb{N}\}$.

No! Assume $A : L_1 \mid L_2$ and A has m states. Consider $a^{m+1} \cdot b^{m+1} \in L_1 \subseteq L(A) \cdot Q$





Example:

1. $\{a^n . b^n \mid n \in \mathbb{N}\} \mid \{a^n . b^{n+1} \mid n \in \mathbb{N}\}.$

Yes! Separator: Even.Even U Odd.Odd.

2. $\{a^n . b^{\leq n} \mid n \in \mathbb{N}\} \neq \{a^n . b^{>n} \mid n \in \mathbb{N}\}$.

No! Assume $A : L_1 | L_2$ and A has m states. Consider $a^{m+1} \cdot b^{m+1} \in L_1 \subseteq L(A)$.

Discussion:

Separability tries to understand the gap between languages.





Example:

1. $\{a^n . b^n \mid n \in \mathbb{N}\} \mid \{a^n . b^{n+1} \mid n \in \mathbb{N}\}.$

Yes! Separator: Even.Even U Odd.Odd.

2. $\{a^n . b^{\leq n} \mid n \in \mathbb{N}\} \neq \{a^n . b^{>n} \mid n \in \mathbb{N}\}$.

No! Assume $A : L_1 | L_2$ and A has m states. Consider $a^{m+1} \cdot b^{m+1} \in L_1 \subseteq L(A)$.

Discussion:

Separability tries to understand the gap between languages.

Insight:

Modulo seems to play an important role!




Regular Separability

Theorem [Lorenzo, Wojtek, Slawek, Charles, ICALP'17]: \mathbb{Z} -REGSEP is decidable.

Regular Separability

Theorem [Lorenzo, Wojtek, Slawek, Charles, ICALP'17]: \mathbb{Z} -REGSEP is decidable.

Regular Separability

Theorem [Lorenzo, Wojtek, Slawek, Charles, ICALP'17]: \mathbb{Z} -REGSEP is decidable.

Theorem [LICS'24]: **ℕ**-REGSEP is decidable.

VASS Reachability



Approximations:

Approximations:

Coverability graphs: Good: Can keep counters non-negative. Bad: Cannot guarantee precise counter values.

Approximations:

Coverability graphs: Good: Can keep counters non-negative. **Bad:** Cannot guarantee precise counter values.

Marking Equation:

Good: Can guarantee precise counter values. **Bad:** Cannot keep counters non-negative.

Approximations:

Coverability graphs: Good: Can keep counters non-negative. Bad: Cannot guarantee precise counter values.

Marking Equation:

Good: Can guarantee precise counter values. **Bad:** Cannot keep counters non-negative.

Solution: Combine the two.

Challenge: Coverability graphs need pumping to guarantee non-negativity. Pumping has to respect the marking equation.

Challenge: Coverability graphs need pumping to guarantee non-negativity. Pumping has to respect the marking equation.

Solution: Only pump where the solution space is unbounded.

Challenge: Coverability graphs need pumping to guarantee non-negativity. Pumping has to respect the marking equation.

Solution: Only pump where the solution space is unbounded.



Challenge: Coverability graphs need pumping to guarantee non-negativity. Pumping has to respect the marking equation.

Solution: Only pump where the solution space is unbounded.



- x[j] with j = 2
- x[e] with $e \in \sigma$ have to be unbounded in the solution space.

Lemma: Consider $A \cdot x = b$ over \mathbb{N}^k and variable x[i].

Lemma: Consider $A \cdot x = b$ over \mathbb{N}^k and variable x[i].

 $\begin{aligned} \textbf{x[i] is unbounded in } sol(A \cdot x = b) \\ \Leftrightarrow \quad \exists s \in sol(A \cdot x = 0) . \ s(x[i]) > 0. \end{aligned}$

Lemma: Consider $A \cdot x = b$ over \mathbb{N}^k and variable x[i].

- x[i] is unbounded in $sol(A \cdot x = b)$
- Support = the set of unbounded variables.

$\Leftrightarrow \exists s \in sol(A \cdot x = 0) . s(x[i]) > 0.$

Lemma: Consider $A \cdot x = b$ over \mathbb{N}^k and variable x[i].

x[i] is unbounded in $sol(A \cdot x = b)$

Support = the set of unbounded variables.

Support solution = $s \in sol(A \cdot x = 0)$ giving a positive value to all variables in the support.

$\Leftrightarrow \exists s \in sol(A \cdot x = 0) . s(x[i]) > 0.$

Lemma: Consider $A \cdot x = b$ over \mathbb{N}^k and variable x[i].

- x[i] is unbounded in $sol(A \cdot x = b)$
- Support = the set of unbounded variables.
- Support solution = $s \in sol(A \cdot x = 0)$ giving a positive value to all variables in the support.

Note: Homogeneous solutions are stable under addition.

$\Leftrightarrow \exists s \in sol(A \cdot x = 0) . s(x[i]) > 0.$



x[e] with $e \in \sigma$ have to be unbounded x[j] with j = 2 in the solution space.



- x[e] with $e \in \sigma$ have to be unbounded in the solution space.
 - = pumping should yield a support solution.



Problem: σ may not match a support solution s.

- x[e] with $e \in \sigma$ have to be unbounded x[j] with j = 2 in the solution space.
 - = pumping should yield a support solution.



Problem: σ may not match a support solution s.

Idea: Turn $s - \psi(\sigma)$ into a path.

- x[e] with $e \in \sigma$ have to be unbounded x[j] with j = 2in the solution space.
 - = pumping should yield a support solution.



Problem: σ may not match a support solution s.

Idea: Turn $s - \psi(\sigma)$ into a path.

- x[e] with $e \in \sigma$ have to be unbounded x[j] with j = 2 in the solution space.
 - = pumping should yield a support solution.



Let G = (V, E) be a strongly connected directed graph. Let $x : \mathbb{N}^E$ satisfy

Lemma (Euler-Kirchhoff): Let G = (V, E) be a strongly connected directed graph. Let $x : \mathbb{N}^E$ satisfy

$$\sum_{e=(-,v)} x[e] = \sum_{e=(v,-)} x[e] \qquad \forall v$$
$$x \ge 1$$

 $\in V$

Lemma (Euler-Kirchhoff): Let G = (V, E) be a strongly connected directed graph. Let $x : \mathbb{N}^E$ satisfy

$$\sum_{e=(-,v)} x[e] = \sum_{e=(v,-)} x[e] \qquad \forall v$$
$$x \ge 1$$

Then there is a cycle c in G with $\psi(c) = x$. Also write $c = \langle x \rangle$.

 $\in V$

Lemma (Euler-Kirchhoff): Let G = (V, E) be a strongly connected directed graph. Let $x : \mathbb{N}^E$ satisfy

$$\sum_{e=(-,v)} x[e] = \sum_{e=(v,-)} x[e] \qquad \forall v$$
$$x \ge 1$$

Then there is a cycle c in G with $\psi(c) = x$. Also write $c = \langle x \rangle$.

 $\in V$

Realization.



Pumping should yield a support solution:

Pumping should yield a support solution:

Let *s* be a support solution with

Pumping should yield a support solution:

Let s be a support solution with

 $d := s - \psi(up) - \psi(dn) \ge 1$

Pumping should yield a support solution:

Let s be a support solution with

$$d := s - \psi(up) - \psi(dn) \ge 1 .$$

By the Euler-Kirchhoff Lemma, the difference can be realized by a cycle

Pumping should yield a support solution:

Let s be a support solution with

$$d := s - \psi(up) - \psi(dn) \ge 1$$

$$w = \langle d \rangle$$
.

By the Euler-Kirchhoff Lemma, the difference can be realized by a cycle
Pumping should yield a support solution:

Let s be a support solution with

$$d := s - \psi(up) - \psi(dn) \ge 1$$

$$w = \langle d \rangle$$

Now $\psi(up) + \psi(w) + \psi(dn) = s$ and we say they match.

By the Euler-Kirchhoff Lemma, the difference can be realized by a cycle

Lambert's Iteration Lemma [TCS'92]: For *c* large enough, one can even fit in a \mathbb{Z} -cycle that reaches the exit from the entry marking:

Lambert's Iteration Lemma [TCS'92]: For *c* large enough, one can even fit in a \mathbb{Z} -cycle that reaches the exit from the entry marking:

 $up^c \cdot \rho \cdot w^c \cdot dn^c$.

Lambert's Iteration Lemma [TCS'92]: For *c* large enough, one can even fit in a \mathbb{Z} -cycle that reaches the exit from the entry marking:

$$up^{c} \cdot \rho \cdot w^{c} \cdot dn^{c}$$
.

Since pumping happens in a support solution, this still solves reachability. Notably, it stays non-negative.

Problem: Precovering graphs may not be perfect.

Problem: Precovering graphs may not be perfect.

Solution: Decompose them into sequences of precovering graphs, MGTS:

Problem: Precovering graphs may not be perfect.

Solution: Decompose them into sequences of precovering graphs, MGTS:



Deciding Reachability:

As long as perfectness fails, decomposition is guaranteed to succeed.

Deciding Reachability:

As long as perfectness fails, decomposition is guaranteed to succeed.

It yields finite sets of MGTS that are smaller in a well-founded order.

Deciding Reachability:

As long as perfectness fails, decomposition is guaranteed to succeed.

It yields finite sets of MGTS that are smaller in a well-founded order.

Hence, perfectness will eventually hold.

- Deciding Reachability:
- As long as perfectness fails, decomposition is guaranteed to succeed.
- It yields finite sets of MGTS that are smaller in a well-founded order.
- Hence, perfectness will eventually hold.
- For perfect MGTS,

- **Deciding Reachability:**
- As long as perfectness fails, decomposition is guaranteed to succeed.
- It yields finite sets of MGTS that are smaller in a well-founded order.
- Hence, perfectness will eventually hold.
- For perfect MGTS,
 - \mathbb{N} -reachability holds $\Leftrightarrow \mathbb{Z}$ -reachability holds.

We are hiring! Associate Professorship in Verification (tenured, W2)

Please inform your postdocs and colleagues who may be interested! Please contact me for questions!



Technische Universität Braunschweig



F